

Additions underlined
Deletions [bracketed]

Rules of NYSE Chicago, Inc.

RULE 7 EQUITIES TRADING

Section 1. General Provisions

Rule 7.8A. Cross Order Settlement Terms

(a) Cross Orders will be considered to be “regular way” settlement terms unless designated with [one of] the [following] “non-regular way” settlement term[s:] Cash [or Next Day]. A Cross Order designated for “non-regular way” settlement may execute at any price without regard to the PBBO or any orders on the Exchange Book.

“Cash”: a transaction for delivery on the day of the contract.

[“Next Day”: a transaction for delivery on the next business day following the day of the contract.]

ARTICLE 9. General Trading Rules

Rule 7. Transactions “Ex-dividend” and “Ex-warrants”

(a) “*Ex-dividend.*” Transactions in stocks, except as provided below, shall be ex-dividend or ex-rights on the [business day immediately preceding the] date of record fixed by the corporation for the determination of stockholders entitled to receive such dividends or rights, except:

- (1) When such record date occurs upon a holiday [or half-holiday], transactions in the stock shall be ex-dividend or ex-rights [two] one full business day[s] immediately preceding the record date; and

(2) “Cash” transactions shall be ex-dividend or ex-rights on the day following the record date; and

(3) Notwithstanding the provisions above, the Committee on Exchange Procedure may direct that transactions shall be ex-dividend or ex-rights on a day other than that fixed by this Rule.

(b) “*Ex-warrants.*” Transactions in securities which have subscription warrants attached (except those made for “cash”) shall be ex-warrants on the [business day preceding the] date of expiration of the warrants, except:

(1) When the day of expiration occurs on a holiday or Sunday, said transactions shall be ex-warrants on the [second] first full business day preceding said day of expiration; and

(2) Transactions for securities made for “cash” shall be ex-warrants on the business day following the date of expiration of the warrants; and

(3) Notwithstanding the provisions above, the Committee on Exchange Procedure may direct otherwise in any specific case.
